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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Atty Docket No.:
PHILLIPS ET AL	)	78391 (18-32 US CON)
	)	
Serial No. 10/688,357	)	Art Unit: 1772
	)	
Filing Date: OCTOBER 17, 2003	)	Examiner:
	)	ALICIA ANN CHEVALIER
Confirmation No. 2310	)	
	)	
For: SECURITY ARTICLES HAVING	)	
DIFFRACTIVE SURFACES AND	)	
COLOR SHIFTING BACKGROUNDS	)	
	)	

CITATION UNDER 37 CFR §1.97

**MAIL STOP AMENDMENT**  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Attached is form PTO-1449 listing references for consideration in the examination of the above-identified application. Copies of the published applications as well as pending application are provided for the Examiner's convenience. In accordance with current USPTO procedures published 05AUG2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form PTO-1449 are not attached. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

This submission is made in accordance with the provisions of 37 CFR §1.97(c). Please charge Deposit Account

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In re Patent Application of:

**PHILLIPS ET AL**

Serial No. **10/688,357**

Filed: **10/17/2003**

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No. **50-1465** the amount of \$180.00 to cover the processing fee. If any additional extension and/or fee is required, or if any additional fee for claims is required, authorization is given to charge Deposit Account No. **50-1465**.

Respectfully submitted,



CHARLES E. WANDS

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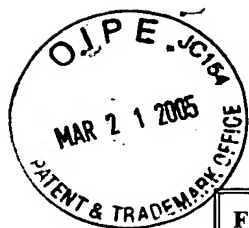
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: M/S AMENDMENT, COMMISSIONER OF PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, or being facsimile transmitted to the USPTO to 703-872-9306, on this 17 day of March, 2005.

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<b>Form PTO 1449A</b>  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  Information Disclosure Statement by Applicant	<b>ATTY. DOCKET NUMBER:</b> 78391 (18-32 US CON)	<b>SERIAL NUMBER:</b> 10/688,357
	<b>APPLICANT:</b> PHILLIPS ET AL.	
	<b>FILING DATE:</b> OCTOBER 17, 2003	<b>GROUP:</b> 1772

**U.S. Patent Documents**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILE APP
		6,692,830	Feb 17, 2004	Argoitia et al.	428	403	
		6,749,777	Jun 15, 2004	Argoitia et al.	252	582	
		6,761,959	July 13, 2004	Bonkowski et al.	428	156	
		20040094850	May 20, 2004	Bonkowski et al.	264	1.34	
		20040105963	Jun 3, 2004	Bonkowski et al.	428	195.1	
		10/666,318	Sep 18, 2003	Phillips et al.			
		11/047,389	Jan 31, 2005	Phillips et al.			

**Foreign Patent Documents**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i>		